

THE COUNTY DIAGNOSTIC: A REGIONAL ENVIRONMENTAL FOOTPRINT FRAMEWORK FOR THE USA

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Pre-Knowledge and Problems

- Population Growth
 - 6.6 to 8.8 Billion by 2030 (UNPD, 2011)
- Rural to Urban Population Shift
 - 50% global, 80% USA (UNPD, 2011; US Census 2010)
 - USA has very high material, water, carbon footprint compared to other nations (Wiedmann et al., 2015)
- Peak Oil & Climate Change
 - 2016-2026, Supply Unpredictable (Hirsch, 2006; Almeda and Silva 2008)
 - Under current resources use, mean global temperatures will increase. This will result in redistribution of climate zones globally to correct for the additional warm energy in the global climate system. Climate changes are spatially heterogeneous, with a greater rate of change at northern latitudes than equatorial. (IPCC, 2014)

Driving Research Question: How can the USA supply the basic resource and energy requirements for a growing urban population in the context of climate change, the energy transition, and competing global demand for basic resources?

Areas Expected to Experience Climate Change based on the SRES A1-F1 Scenario

A1-F1: Rapid fossil fuel-based economic and population growth peaks by 2050, followed by implementation of more efficient technologies and increased regional integration (Arnell, 2004). The scenario indicates that by 2100 the köppen Cfa climate region will expand northward into the colder Dfa and Dfb climate regions. The dryer Bsk and Bsh regions expand northward across the middle of the country and dry hot summers become the norm further northward from the Gulf region into the upper Midwest. On the West Coast, the wetter Csb and Csc climate types are replaced by the dry summer Csa climate type in much of the Sierra Nevada and Cascades and expands eastward into what is currently the dryer B zone. Generally, the D climates in the Rockies are replaced by Cfa climates, likely resulting in reduced winter snowfall except at the higher elevations.

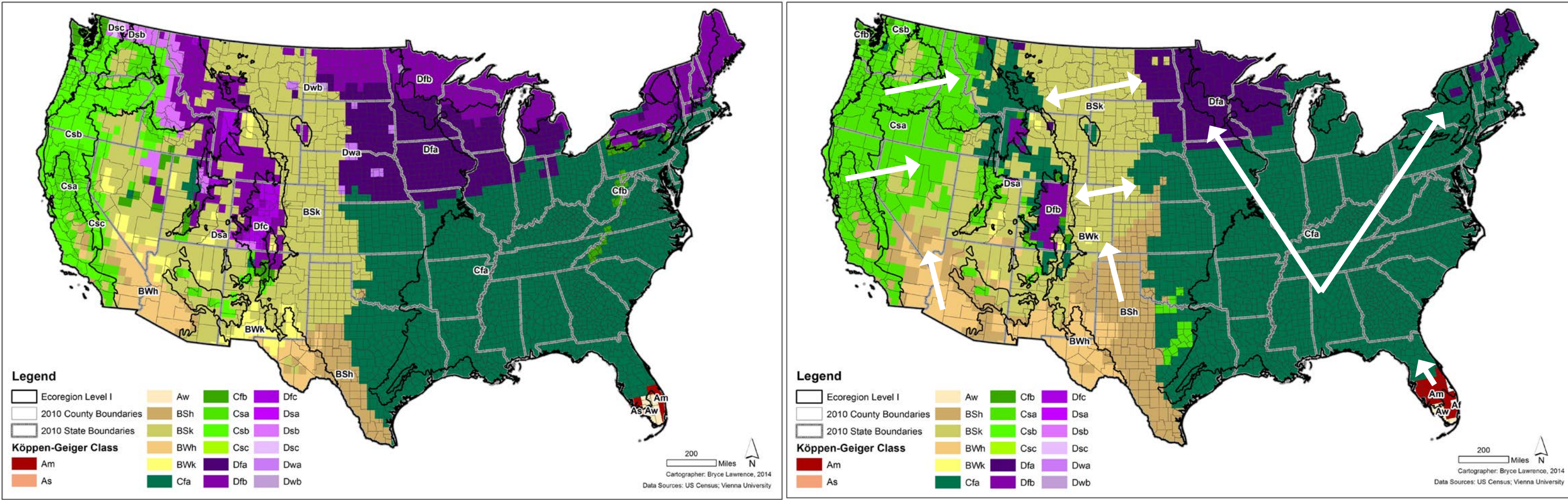


Figure 1: A1-F1 Climate Change Scenario 2001-2025 (Rubel and Kottek, 2010)

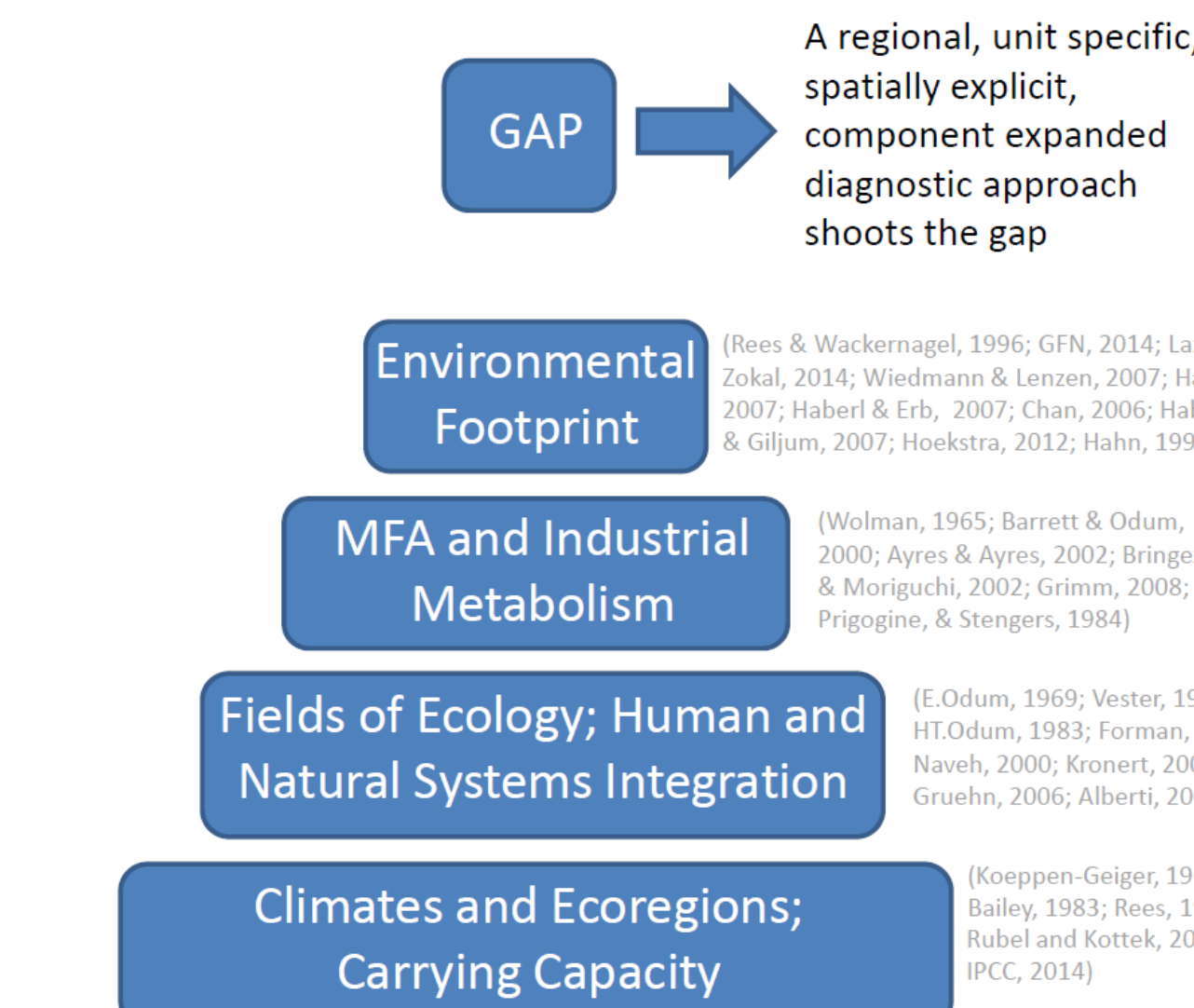


Figure 3: Research Pyramid, Author's own depiction

County Diagnostic Conceptual Framework

The Dissipative Ecological Unit (DEU): Described in detail by Odum (1969); Boiled down to the essence by Ripl and Hildmann (2000). In the DEU, the sun drives production, consumption, detritus creation and waste by the landscape. Materials are produced around by liquid water, soil water, short cycles, and the global energy balance converts H2O from surface (blue) to vapor (green). Energy is stored as NPP, and entropy is low.

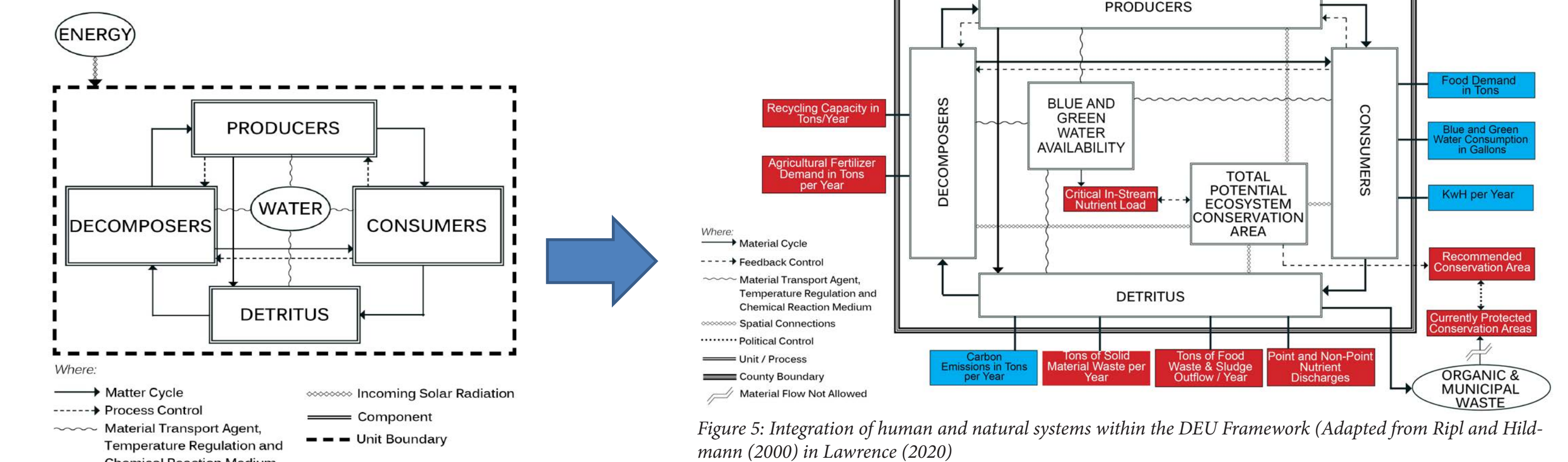


Figure 4: DEU concept Framework, adapted from Ripl and Hildmann (2000)

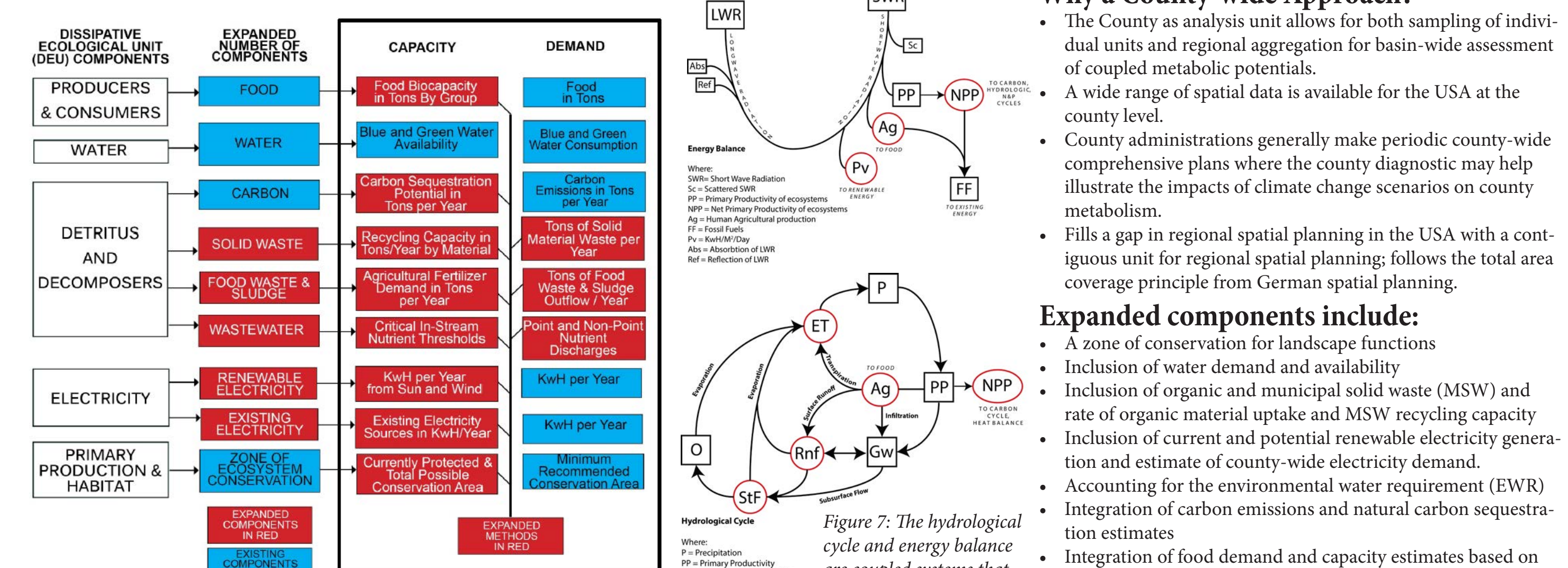


Figure 6: The County Diagnostic expands on current categories of the footprint family (Galli et al., 2012) with an expanded number of EF components in a human and natural systems integrated DEU model

Well Studied, but Well Applied?

- Urban / Industrial Metabolism (Wolman, 1965; Ayres & Ayres, 2002)
- Systems Ecology (E.Odum, 1969; Vester, 1976; HT.Odum, 1983)
- Environmental Footprint (Rees & Wackernagel, 1996; Galli, 2015; Hoekstra 2012)

Regional Planning in the USA

- USA has robust federal regulations and local planning instruments, but lacks a contiguous regional spatially-based planning instrument focused on integration of human and natural systems
- Patchwork of Incorporated Places and Counties at regional level make inclusion of all actors and access to data challenging
- USA covers a diverse array of climate types and ecoregions, requiring a flexible regional planning approach.

CALCULATION OVERVIEW WITH SELECTED EXAMPLES

Capacity Equations and Figures

F3c

$$NASS_{CEREALS} = \sum_{p=1}^n (NASS_{CEREALS} [p, x, t]) + \sum_{p=1}^n (NASS_{RESIDUES} [p, x, t])$$

Where:

$NASS_{CEREALS} [p, x, t]$ = The sum of all cereal crop products [p] in tons by county [x] per year [t] (United States Department of Agriculture 2012), defined in table 4-2

$NASS_{RESIDUES} [p, x, t]$ = The sum of all legume crop products [p] in tons by county [x] per year [t] (United States Department of Agriculture 2012)

Equation 8: Cereal Crop Summation in Tons

Cereal Crops for Human Consumption
Corn
Rye
Rice
Sweet Corn
Pop or Orn Corn
Barley
Durum Wheat
Spring Wheat
Winter Wheat
Other Small Grains

Table 4-2, list of cereal crops for human consumption. From (Lawrence, 2017)

Demand Equations and Figures

F3d

$$CEREALS_{DEM} = ((6 \text{ ounces per day} \times 365 \text{ days}) / 32000 \text{ ounces per ton})$$

Equation 20: 2000 Calorie Food Demand by Food Group per Year

$$CEREALS_{HUMAN} = \sum_{p=2}^n CEREALS_{DEM} [p, x, t]$$

Where:

P = population, from (US Census, 2012)

x = County area

t = Time, by year

Equation 21: Food Demand Summary by Food Group for Human Supply

Capacity Equations and Figures

W2c

$$Available \ Annual \ M^3 \ Green \ Water \ (W2c) = \sum_{i=1}^n \left(\left(\frac{ET_{green}[x, t_{avg}]}{1000} \right) (ERASE) ZOC[x] \right) \times (858782)$$

Where:

W2c = Total Available Green Water Availability in cubic meters (M3)

ET_{green} = Monthly [m] estimated evapotranspiration (ET_{green}) for a ~1km (858,872 m²) raster cell within county [x] in mm (The University of Missouri 2010), converted to meters [m] via division by 1000

ZOC [x] = The total spatial extent [x] of the final Zone of Conservation (ZOC) (Equation 48)

<ERASE> = The defined spatial extent to the right of the <ERASE> symbol is erased from the spatial extent on the left of the symbol, performed in ArcGIS. 858782 = The area of each raster cell for which an ET point value is representative

Equation 32: Total Available Atmospheric Moisture (Green Water Availability), adapted from (Hoekstra et al., 2011, p. 79)

Demand Equations and Figures

W2d

$$Annual \ Green \ Water \ Demand \ in \ M^3 \ (W2d) = \sum_{i=1}^n \sum_{p=1}^n (CROP_{type} [p, x, t_{avg, f}] \times GW_{f_{cons}} [p]) + \sum_{i=1}^n (FEED_{type} [p, x, t_{avg, f}] \times GW_{f_{cons}} [p])$$

Where:

CROP_{type} [p, x, t_{avg, f}] = Tons of crop type [p] per county [x] (USDA 1994) per month t_{avg, f} with active [m(t)] or inactive [m(f)] growing season of crop type [p] (USDA 1997)

FEED_{type} [p, x, t_{avg, f}] = Tons of feed type [p] demanded per county [x], derived from 4.1, 3.2 F3d) per month [x] (USDA 1997)

GW_{f_{cons}} [p] = The green water consumption of crop type [p] in M3 (McKinnon, Hoekstra 2010a, 2010c)

Equation 37: Green Water Consumption of Crop and Livestock Products in Mgal / Year

Capacity Equations and Figures

EC2c

$$ZOC = \theta \left(\sum_{p=1}^n VEGTYPE_p < INTERSECT > \theta Z_{0.00} \right) + \theta Z_0$$

Where:

Σ_{p=1}ⁿ VEGTYPE_p = All dissolved vegetation types intersecting vegetation layers listed in section 4.3.1.2, the "outer zone"

θZ_{0.00} = The spatial overlay summation of zones 1 through 4 with a 100-meter buffer

θ = The total spatial extent of a layer, union or overlay

<INTERSECT> = The symbol for spatial intersect, where layer A spatially intersects layer B

Equation 47: Summarization of the 5th (Outer) Zone of the ZOC

Demand Equations and Figures

EC1&2d

Area-Based Conservation Targets

- Current terrestrial biodiversity targets for developed nations is estimated at 17% land area and 10% of marine areas based on recommendations from the International Convention on Biodiversity (United Nations 2010), although Hoekstra settles on a recommendation of 30% area preserved for biodiversity and ecosystem function (Hoekstra et al. 2011, p.81).
- For this terrestrial study 17% land and water area is used.
- US Protected Area Database (PAD-US) provides nation-wide conservation area data (USGS 2012)

Capacity Equations and Figures

C1c

$$CARBON_{REQ} = \left(\sum_{p=1}^n MODIS17_{NPP} [px, x, t] \right) + 691129.8$$

Where:

MODIS17_{NPP} [px, x, t] = The summary of all pixel values [px] per county [x] per year [t], representing total NPP in gC/m²/year (Zhao et al. 2005)

691129.8 = The area in meters of each raster pixel 691129.8 = The conversion from grams to metric tons

Equation 59: Carbon Sequestration Calculation

Demand Equations and Figures

C1d

$$CARBON_{EMISS} = \sum_{i=1}^n \sum_{p=1}^n (CARBON_{TOWNS} [s, x, t]) \times (POP_{[t_{avg}]} [s])$$

Where:

CARBON_{TOWNS} [s, x, t] = Tons of Carbon emissions per sector [s] per county [x] per year [t] (Gurney et al. 2009)

POP_[t_{avg}] [s] = The difference in per capita population between 2012 [t_{avg}] and 2020 [t_{avg}] as a percentage of growth or reduction.

Equation 60: Calculation of Adjusted Carbon Emissions per County

Capacity Equations and Figures

E1c (solar)

$$Annual \ Solar \ Energy \ Production \ in \ MWh = \sum_{i=1}^n \left(\left(\frac{R [h] \times (0.667 \times 1.5) + ((NR [h] \times 0.667 \times 6) \times (P_{avg}))}{1000} \right) \times D_{NPP} [h] \right)$$

Where:

R [h] = Residential Buildings (United States Census Bureau 2012)

NR [h] = Non-Residential Buildings (US Census Bureau 2012)

P_{avg} = Photovoltaic Resource in kWh/m²/day (Roberts 2012)

D_{NPP} = Days per Month [s]

Equation 62: Annual Solar Electricity Production Potential in MWh

Demand Equations and Figures

E1&2d

$$Electricity \ Demand \ in \ MWh = \left(\sum_{i=1}^n \left(\left(\frac{R [h]_{[t_{avg}]} \times (0.667 \times 1.5) + ((NR [h]_{[t_{avg}]} \times 0.667 \times 6) \times (P_{avg}))}{1000} \right) \times D_{NPP} [h] \right) \right)$$

Where:

R [h]_[t_{avg}] = The Number of Residential Buildings in a County (USCIB 2012)

NR [h]_[t_{avg}] = The Number of Non-Residential Buildings in a County (USCIB 2012)

P_{avg} = The Peak Load in a County (USCIB 2012)

D_{NPP} = The Number of Non-Residential Buildings in a County (USCIB 2012)

POP_[t_{avg}] = US Census Population of the County (USCIB 2010)

MMH [m², t_{avg}] = Summary of Reported in Million Btu for all Prime Movers (United States Energy Information Administration 2012)

Equation 70: Annual Electricity Demand in MWh for Residential [R], Commercial [C], Industrial [I] and Transportation [T] Sectors

Capacity Equations and Figures

M2c

$$RMSW_{dem} = \sum_{p=1}^n PPD_{msw} [mswtrp] \times POP [x, t]$$

Where:

RMSW_[mswtrp] = The PPD of MSW as Reported from State, Regional or County MSW Planning Reports, Multiplied by the Municipal Solid Waste Type Production Percentage [mswtrp] from (US EPA 2012) or from County Reports when Available. POP [x, t] = The Reported Population per County for the Study Year from the 2012 US American Community Survey Adjusted DPI Table.

Equation 77: Total Tons of Recycling Capacity by Material Type

Demand Equations and Figures

M2d

$$MSW_{dem} = \sum_{p=1}^n PPD_{msw} [mswtrp] \times POP [x, t]$$

Where:

PPD_[mswtrp] = The PPD of MSW as Reported from State, Regional or County MSW Planning Reports, Multiplied by the Municipal Solid Waste Type Production Percentage [mswtrp] from (US EPA 2012) or from County Reports when Available. POP [x, t] = The Reported Population per County for the Study Year (US Census)

Equation 74: MSW Demand Calculation, to be Split by Material Type

A comparative case study application of the County Diagnostic within the US Eastern Temperate Forest Ecoregion

Secondary Research Questions

- 2. Do Ecoregions Influence the Potential for Footprint Reduction?**

H2 = The natural variation of bioproductivity and resource availability between ecoregions will have a varied effect on the county to provide the basic minimum human demands from within their jurisdictions.

H2a = Ecoregion divisions will make no differences on the availability and type of bioproductivity or the potential carrying capacity
- 3. Does Equilibrium or Non-Equilibrium Thermodynamics Explain Regional Footprint or Metabolism?**

H3 = The thermodynamic paradigm of closed-loop cycling, or a thermodynamic equilibrium, may have a limited applicability regarding planning of regional and supra-regional material flows.

H3a = Closed loop systems, completely balanced demand and capacity in the terms of the County Diagnostic model, are the ecological norm at the county level.

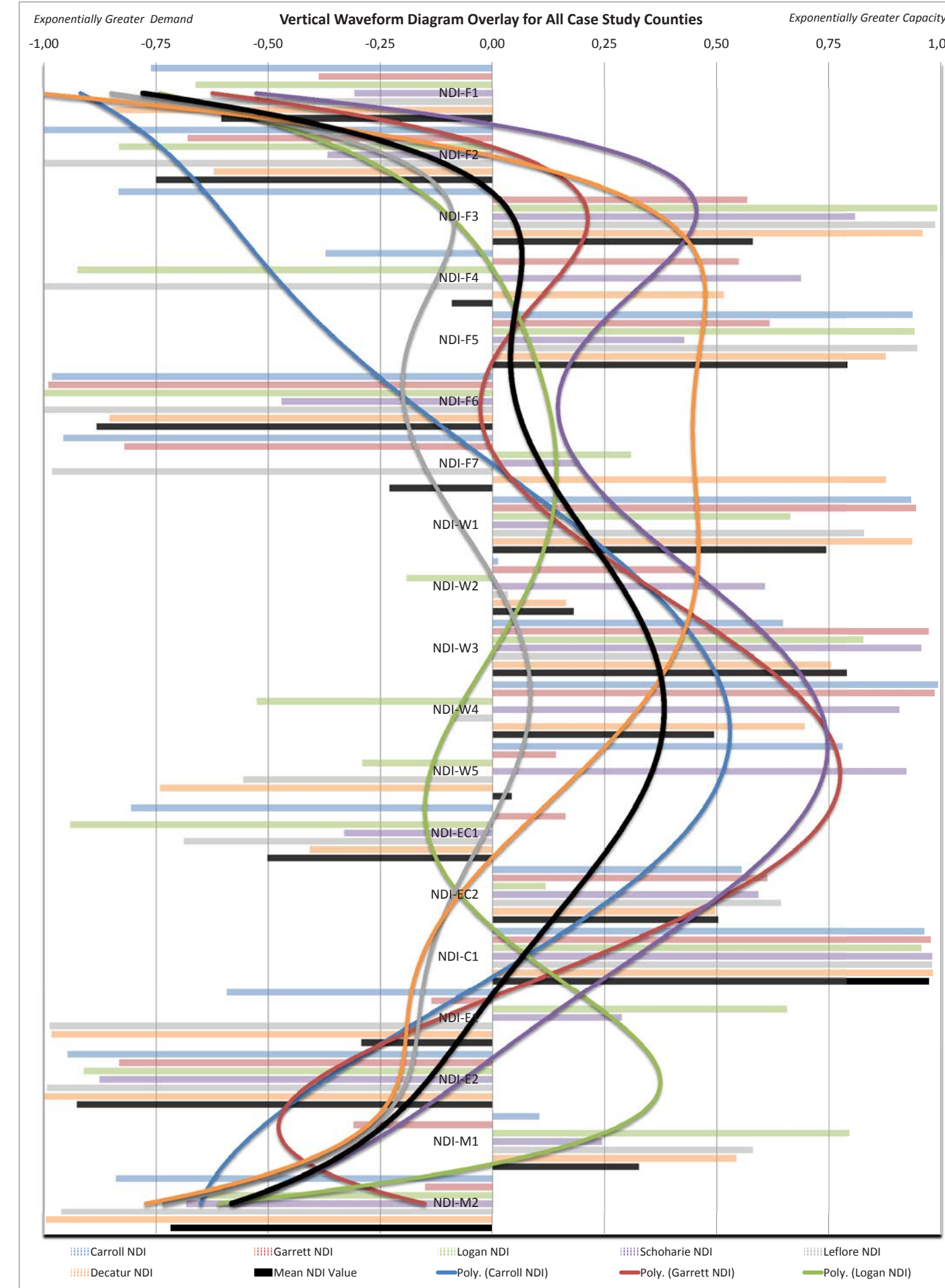


Figure 18: Six county case study in the Eastern Temperate Forest (ETP) Ecoregion Level II, and the expected climate changes (Author's own work, based on Rubel and Kottek (2010))

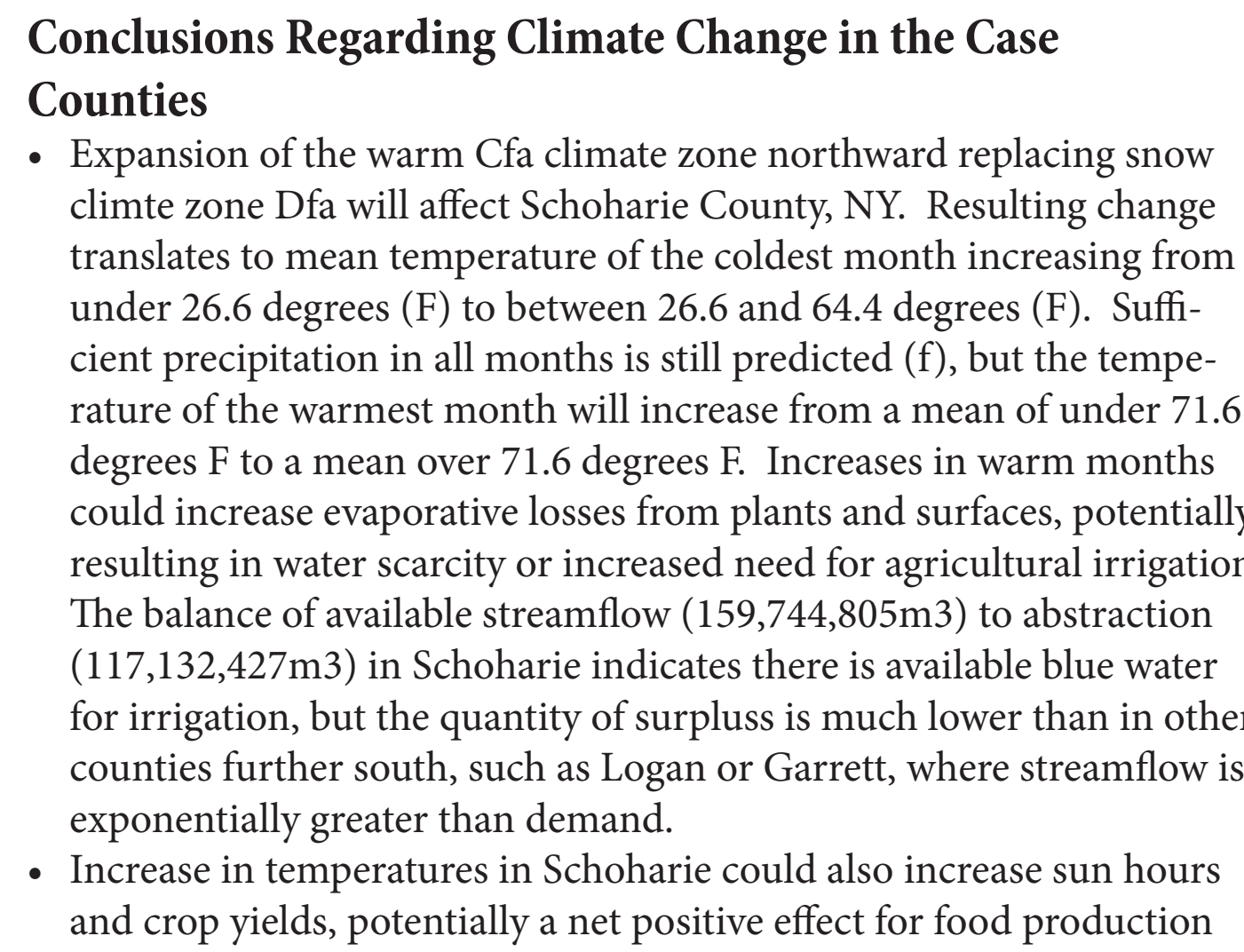


Figure 19: Vertical waveform diagrams (VWDs) for all six case study counties. Graphical VWD underpinned by normalized difference index (NDI) integer values (Lawrence, 2020)

Conclusions Regarding Climate Change in the Case Counties

- Expansion of the warm Cfa climate zone northward replacing snow climate zone Dfa will affect Schoharie County, NY. Resulting change translates to mean temperature of the coldest month increasing from under 26.6 degrees (F) to between 26.6 and 64.4 degrees (F). Sufficient precipitation in all months is still predicted (f), but the temperature of the warmest month will increase from a mean of under 71.6 degrees F to a mean over 71.6 degrees F. Increases in warm months could increase evaporative losses from plants and surfaces, potentially resulting in water scarcity or increased need for agricultural irrigation. The balance of available streamflow (159,744,805m³) to abstraction (117,133,427m³) in Schoharie indicates there is available blue water for irrigation, but the quantity of surplus is much lower than in other counties further south, such as Logan or Garrett, where streamflow is exponentially greater than demand.
- Increase in temperatures in Schoharie could also increase sun hours and crop yields, potentially a net positive effect for food production where hay, grain and fruit yields, which have negative NDI values on the vertical waveform diagram (Figure 19), could be increased.
- Loss of Cfb climate zone in the Appalachian Mountains will affect Garrett County, where Cfa will replace Cfb. Temperatures in the warmest month will increase from under 71.6 to over 71.6 degrees F. This will likely increase evaporative losses and potentially alter snowfall or snowmelt patterns.
- The encroachment of the tropical Aw or Am climate into Cfa areas in Florida will increase mean monthly temperatures to 64.4 degrees F or above and the loss of the coldest month between 26.6 and 64.6 degrees F in the central region of the peninsula. The rainfall regime will change from sufficient in all months (f) to either desert (w) or monsoon with significant dry season (m). Certainly, this change will cause increased evaporative losses in the dry season. Coupled with salt water encroachment in coastal aquifers related to sea level rise (Karl et al., 2009) occurring in Florida (Abd-Elaty et al., 2019), water abstraction could outstrip water availability for at least some months of the year in major population centers, such as Tampa.

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